



# PATENT APPLICATION Attorney Docket 6452/53554

# **DECLARATION FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that: My residence, post office address and citizenship are as stated below next to my name.

I believe that I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled "Digital Fingerprint Identification System", USSN 09/598,793 filed June 21, 2000.

I hereby state that I have reviewed and understand the contents of the specification identified above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and so identified, and I have also identified below any foreign application for patent or inventor's certificate on this invention filed by me or my legal representatives or assigns and having a filing date before that of the application on which priority is claimed.

<u>Number</u>

Country

Day/Month/Year Filed

Priority Claimed (Yes or No) NO

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.

Filing Date

**Status** 

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Declaration for Patent Application by Sole Inventor Philippe Tarbouriech "DIGITAL FINGERPRINT IDENTIFICATION SYSTEM" USSN 09/598,793 filed June 21, 2000 Attorney Docket 6452/53554

I hereby appoint Virginia H. Meyer, Esq. (Reg. No. 30,089) with full power of substitution and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith.

I hereby request that all correspondence and telephone calls in respect to this application be directed to Virginia H. Meyer, Esq. at MEYER INTELLECTUAL PROPERTY LAW, 475 Gate Five Road, Suite 275, Sausalito, California 94965, Telephone (415) 289-7471, Telefax (415) 331-6068.

Full name of

inventor:

Philippe Tarbouriech

Signature:

Date:

Residence and

Post Office Address: 745 Filbert Street, San Francisco, California 94133

Citizenship:

**USA** 



#### PATENT APPLICATION

Attorney Docket 6452/53554

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicants:** 

Philippe Tarbouriech

**Serial No.:** 

09/598,793

Filed:

June 21, 2000

For:

Digital Fingerprint Identification System

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 C.F.R. SECTIONS 1.9(f) AND 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN:

Xenote, Inc.

ADDRESS OF CONCERN: 2000 Alameda de las Pulgas, Suite 250, San Mateo, CA, 94403

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 C.F.R. Section 121.3-18, and reproduced in 37 C.F.R. Section 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of the affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled "Method and System for Identifying Data Locations Associated with Real World Observations"

Small Entity Statement for Small Business Concern Xenote, Inc.

Philippe Tarbourich for "Digital Fingerprint Identification System", USSN 09/598,793, filed June 21, 2000

Attorney Docket 6452/53554

Page 1 of 3

by inventor Philippe Tarbouriech, United States Serial No. 09/598,793 filed June 21, 2000.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 C.F.R. §1.9(d) or by any concern which would not qualify as a small business concern under 37 C.F.R. §1.9(d) or a nonprofit organization under 37 C.F.R. §1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to his/her/its status as a small entity. (37 C.F.R. §1.27)

Full Name: Address:			
( ) Inc	dividual (	) Small Business Concern (	) Nonprofit Organization
Full Name: Address:			
( ) Inc	dividual (	) Small Business Concern (	) Nonprofit Organization
Full Name: Address:			
( ) Inc	dividual (	) Small Business Concern (	) Nonprofit Organization

I acknowledge the duty to file in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee of any maintenance fee due after the date on which status as a small entity is no longer appropriate (17 C.F.R. Section 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Small Entity Statement for Small Business Concern Xenote, Inc.

Philippe Tarbourich for "Digital Fingerprint Identification System", USSN 09/598,793, filed June 21, 2000

Attorney Docket 6452/53554

Page 2 of 3

NAME	OF	<b>PFRSO</b>	N SIGNI	NC

Mark Kaufmann

TITLE OF PERSON OTHER THAN OWNER

President & CEO

ADDRESS OF PERSON SIGNING

Xenote, Inc.

2000 Alameda de las Pulgas

Suite 250

San Mateo, CA 94403

**SIGNATURE** 

2/20/00

**DATE** 

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#3ttorney Docket 6452/5355

PTO/SB/96 (6-98)

Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork-Reduction Red of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Applicant/Patent wmer: Philatype TARBOURIECH  Application No./Patent No.: 09/598,793 Filed/Issue Date: June 21, 2000  Entitled: Digital Fingerprint Identification System  Xenote, Inc. , a California corporation (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)  states that it is:  1.				
Entitled:				
Entitled: Digital Fingerprint Identification System  Xenote, Inc. ,a California corporation , (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)  states that it is:  1.				
Xenote, Inc, aCalifornia corporation, (Name of Assignee), aCalifornia corporation, a				
(Name of Assignee)  (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)  states that it is:  1.				
states that it is:  1.				
<ol> <li>the assignee of the entire right, title, and interest; or</li> <li>an assignee of an undivided part interest in the patent application/patent identified above by virtue of either:         <ol> <li>A. [X] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel, or for which a copy thereof is attached.</li> </ol> </li> <li>OR</li> <li>B. [ ] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below.         <ol> <li>From: To:</li></ol></li></ol>				
<ul> <li>2.  an assignee of an undivided part interest in the patent application/patent identified above by virtue of either:  A. [X] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.</li> <li>OR</li> <li>B. [ ] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:  1. From: To: The document was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.</li> <li>2. From: To: To: To: The document was recorded in the Patent and Trademark Office at</li> </ul>				
in the patent application/patent identified above by virtue of either:  A. [X] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.  OR  B. [ ] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:  1. From: To: The document was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.  2. From: To: The document was recorded in the Patent and Trademark Office at To:				
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Reel, Frame, or for which a copy thereof is attached.				
3. From: To:				
The document was recorded in the Patent and Trademark Office at  Reel, Frame, or for which a copy thereof is attached.				
[ ] Additional documents in the chain of title are listed on a supplemental sheet.				
<ul> <li>[ ] Copies of assignments or other documents in the chain of title are attached.</li> <li>[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document)</li> <li>must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8]</li> </ul>				
The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.				
9/20/00				
Date Signature				
Mark Kaufmann				
Typed or printed name				
President Title				





### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Philippe Tarbouriech

Serial No.:

09/598,793

Filed:

June 21, 2000

For: Digital Fingerprint Identification System

## POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST

**Assistant Commissioner for Patents** Washington, D.C. 20231

Sir:

Xenote, Inc., a California corporation, 2000 Alameda de las Pulgas, Suite 250, San Mateo, CA 94403, assignee of all right, title and interest to the application identified above, through its President & CEO, Mark Kaufmann, hereby appoints as its principal attorney, Virginia H. Meyer, Reg. No. 30,089, with full power of revocation and substitution, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected herewith.

All correspondence and telephone calls relating to this application should be directed to Virginia H. Meyer, Esq. at MEYER INTELLECTUAL PROPERTY LAW at 475 Gate Five Road, Suite 275, Sausalito, California 94965, Telephone (415) 289-7471, Facsimile (415) 331-6068.

Respectfully submitted,

XENOTE, INC.

a California corporation

Mark Kaufmann

President & CEO Its:

Date:	

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